Annual Report

for the
Florida Department of Highway Safety
and Motor Vehicles

Office of Inspector General

Building Public Confidence through Integrity, Accountability, and Efficiency

Fiscal Year 2013 – 2014

A SAFER
FLORIDA
HIGHWAY SAFETY AND MOTOR VEHICLES

Terry L. Rhodes
Executive Director

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Rick Scott
Governor

Pam Bondi
Attorney General

Jeff Atwater
Chief Financial Officer

Adam Putnam
Commissioner of Agriculture

September 10, 2014

Terry L. Rhodes, Executive Director
Department of Highway Safety and Motor Vehicles
2900 Apalachee Parkway
Tallahassee, Florida 32399-0500

Dear Director Rhodes:

In accordance with Section 20.055(7), Florida Statutes, I am pleased to present the Office of Inspector General's Annual Report for Fiscal Year 2013-14. While this report documents the activities that fall within the responsibility of this office, it also reflects the high professional standards of the members that comprise the Office of Inspector General team who were critical to our success and accomplishments this past year.

The Office of Inspector General is committed to providing leadership in the promotion of accountability and integrity. We will continue to work with Departmental management and staff to promote our mission of *b*uilding public confidence through integrity, accountability, and efficiency throughout the Department. Thank you for your continued support of our efforts.

Respectfully submitted,

Julie M. Leftheris
Inspector General

Background

The role of the Office of Inspector General (OIG) is to provide a central point for coordination of, and responsibility for, activities that promote accountability, integrity and efficiency in the Department. Section 20.055, Florida Statutes (F.S.), defines the duties and responsibilities of agency inspectors general. It requires that each inspector general shall submit to the department head an annual report, not later than September 30th of each year, summarizing its activities during the preceding state fiscal year. This report includes, but is not limited to:

- A summary of each audit and investigation completed during the reporting period;
- A description of activities relating to the development, assessment, and validation of performance measures;
- A description of significant abuses and deficiencies relating to the administration of programs and operations of the agency disclosed by investigations, audits, reviews, or other activities during the reporting period;
- A description of recommendations for corrective action made by the OIG during the reporting period with respect to significant problems, abuses, or deficiencies identified; and
- The identification of each significant recommendation described in previous reports on which corrective action has not been completed.

This report is presented to the Executive Director in accordance with statutory requirements to describe how the OIG accomplishes its mission as defined by Florida Law.

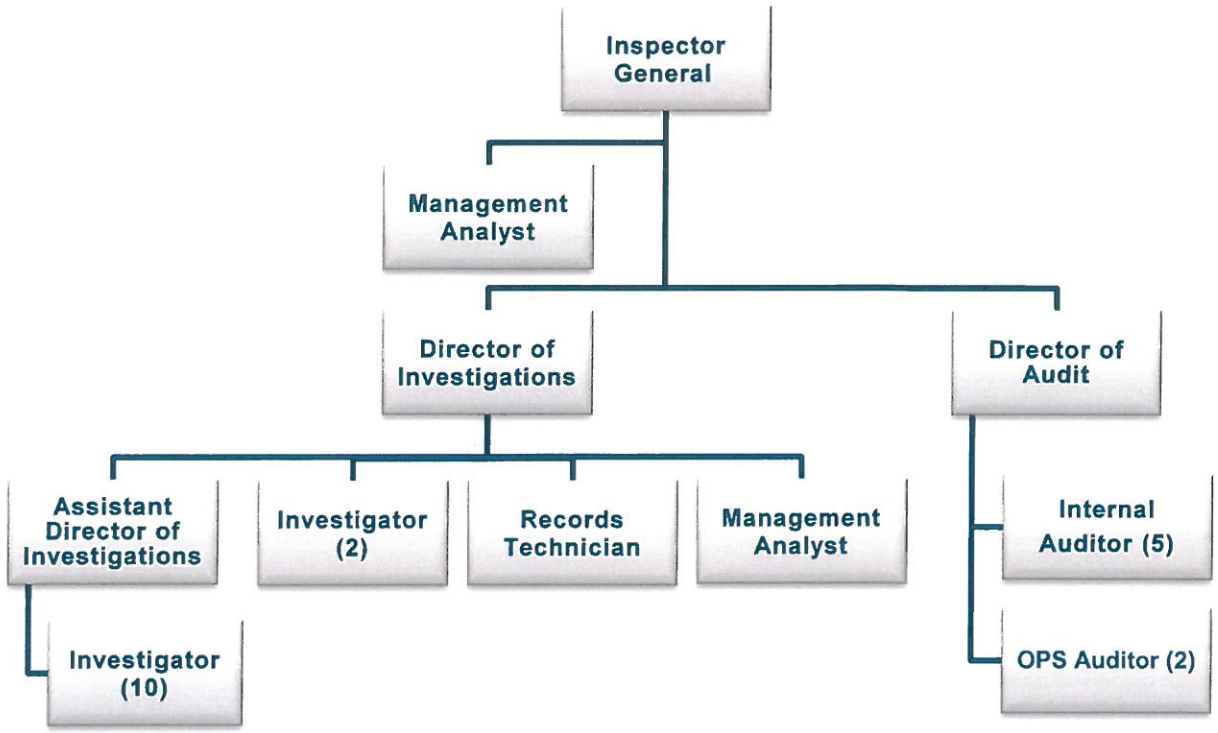
Inspector General Responsibilities

The specific duties and responsibilities of the Inspector General according to Section 20.055(2), F.S., include:

- Providing direction for, supervision and coordination of audits, investigations, and management reviews relating to the programs and operations of the state agency;
- Keeping the agency head informed concerning fraud, abuses, and deficiencies relating to programs and operations administered or financed by the state agency, recommending corrective action concerning fraud, abuses, and deficiencies and reporting on the progress made in implementing corrective action;
- Reviewing the actions taken by the state agency to improve program performance and meet program standards and making recommendations for improvement if necessary;
- Advising in the development of performance measures, standards, and procedures for the evaluation of state agency programs;
- Ensuring effective coordination and cooperation between the Auditor General, federal auditors, and other governmental bodies with a view toward avoiding duplication; and
- Maintaining an appropriate balance between audit, investigative, and other accountability activities.

Organization

The organizational structure for the OIG on June 30, 2013, was as follows:



Staff Qualifications

OIG staff have backgrounds and experience that cover a wide variety of disciplines that include accounting, auditing, law enforcement, program evaluation, and management. Staff members have professional certifications and participate in a number of professional organizations to maintain proficiency in the areas of their profession. Below is a summary of professional certifications maintained by OIG staff members:

Certifications:

- Certified Inspector General
- Certified Public Accountant
- Certified Internal Auditor
- Certified Inspector General Auditor
- Certified Inspector General Investigator
- Certified Law Enforcement Instructor
- Certified Law Enforcement Analyst

Reaccreditation of the Investigations Function

An accreditation program has long been recognized as a means of maintaining the highest standards of professionalism. Accreditation is the certification by an independent reviewing authority that an entity has met specific requirements and prescribed standards.

On October 31, 2007, the Governor's Chief Inspector General, along with the Florida Department of Law Enforcement and the Commission for Florida Law Enforcement Accreditation, Inc. (CFA) initiated an accreditation program for the Inspectors General Investigative function of state agencies. The standards were approved by the CFA in February 2009 and went into effect July 1, 2009.

The OIG's Investigative Unit was approved by CFA as an accredited OIG in February 2011. On July 17, 2013, CFA assessors performed an on-site assessment of the OIG to determine whether the investigations function has processes in place and whether the processes are being utilized throughout OIG operations. The assessors report which recommended reaccreditation status was presented for review and approved by CFA Commissioners on September 25, 2013.

Staff Accomplishments

Harold Schweinsberg and Doane Rohr obtained their Certified Inspector General Investigator and Certified Inspector General Auditor certifications respectively from the Association of Inspectors General in August 2013.

OIG investigative team member Kevin Strickland was one of ten members to receive the Department's Advisory Committee Excellence (ACE) Award for the 2013-14 Fiscal Year which recognizes members who exhibit outstanding performance that reflects Department values. The ACE Award is funded by the Department's Advisory Committee and the Florida Highway Patrol Advisory Council. Members nominated must show sustained exceptional performance as well as demonstrate each of the Department's values.

Staff Training

Section 20.055, F.S., requires offices of inspector general to conduct audits and investigations in accordance with professional standards. Specifically, the statute requires that we comply with the General Principles and Standards for Offices of Inspector General as published and revised by the Association of Inspectors General, and that audits are conducted in accordance with the International Standards for the Professional Practice of Internal Auditing as published by the Institute of Internal Auditors.

The Association of Inspectors General specifies that each staff member who performs investigations, audits, evaluations, or reviews should receive at least 40 hours of continuing professional education every two years that directly enhances the person's professional

proficiency. For audit staff members, 40 hours of continued education is required annually. To ensure staff members are prepared to meet OIG mission requirements and comply with requirements specified in Section 20.055, F.S., we utilize various training resources to fulfill the specific training needs of each OIG member.

Internal Audit

The purpose of the Internal Audit Section is to help the Department accomplish its objectives by providing management with independent and objective reviews and consultation regarding risk management, control, and governance processes of financial, operational, information technology, and other relevant areas. Analyses, appraisals, and recommendations related to reviews of program areas and processes are furnished to management and other Department members to assist them in effectively managing their areas of responsibility.

Internal audit activities are performed in accordance with *International Standards for the Professional Practice of Internal Auditing* published by the Institute of Internal Auditors and *General Principles and Standards for Offices of Inspector General* published by the Association of Inspectors General.

The Internal Audit Section also provides independent advisory services to Department management for the administration of its programs, services, and contracting process. Additionally, other limited service engagements, such as special projects and investigative assistance, are performed and are typically more specific in scope than an audit or review.

Assurance Services

Assurance Services are performance audits, compliance audits, financial audits, and attestations. Assurance services contribute to government accountability for the use of public resources and the delivery of services. Assurance service engagements are used to evaluate performance and internal controls for:

- Economic and efficient use of resources;
- Reliability and integrity of information;
- Safeguarding of assets;
- Compliance with policies, procedures, laws, and regulations; and
- Accomplishment of established objectives and goals for operations or programs.

Advisory Services

Advisory Services are consultative and related client service activities, the nature and scope of which are agreed upon with the client, and that are intended to add value and improve the Department's operations. Examples include counsel, advice, facilitation, process design, and training.

Audit standards prohibit advisory services which constitute performing management functions, making management decisions, auditing our own work, or providing non-audit services in situations where the amounts or services involved would be significant/material to the subject matter of an audit.

Annual Risk Assessment and Work Plan Development

Section 20.055 F.S., requires the inspector general to conduct and analyze the results of a risk assessment to assist in the development of an annual Audit Work Plan.

A risk assessment is the identification and analysis of relevant risks to achieving an organization's objectives, for the purpose of determining how those risks should be managed. Risk assessment implies an initial determination of operating objectives, then a systematic identification of those things that could prevent each objective from being attained. In other words, it's an analysis of what could go wrong. A risk assessment also aids management in determining the appropriate balance between control and risks.

The Internal Audit Section conducts annual risk assessments to identify risk exposures and assists management in developing an understanding of risk to assist with their responsibility to manage them appropriately. The risk assessment activities aid in developing the OIG Annual Work Plan. The Work Plan for the 2014-15 and 2015-16 Fiscal Years was based on the results of the risk assessment, prior OIG audit and investigative findings, external audits, special assignments, and requests from management.

Performance Measure Assessment

Performance measure assessments are designed to assess the reliability and validity of information on performance measures and standards and recommend improvements, if necessary. Section 216.013, F.S., requires state agencies to develop long range program plans to achieve goals, provide the framework for developing budget requests, and identify and update program outcomes and standards to measure progress toward program objectives. Section 20.055(2), F.S., requires the OIG to perform a validity and reliability assessment of their agency performance measures and, if needed, make recommendations for improvements.

External Audit Coordination

The Internal Audit Section serves as a liaison to external agencies who audit the Department and monitors and tracks findings and recommendations that result from these external audits as well as monitors and tracks management efforts to correct audit findings. Specifically, during the 2013-14 Fiscal Year, the Department was subject to an operational audit and an information technology audit of the Florida Real-time Vehicle Information System (FRIVIS) by the Auditor General.

Legislative Budget Request

The OIG prepares the Schedule IX of the Department's Legislative Budget Request. The Schedule IX, *Major Audit Findings and Recommendations*, is designed to inform decision makers on recent major findings and recommendations found in Auditor General and OIG audit reports. This schedule summarizes major findings and recommendations from audit reports issued during the current and previous fiscal years.

Compliance Reviews

Single Audit Reviews - The Department collects and distributes annual use fees for over 100 different specialty license plates to the related organizations. Section 320.08062, F.S., requires all organizations that receive annual use fee proceeds for specialty license plates to annually submit an attestation or audit report pursuant to Section 215.97, F.S., for the purpose of certifying that the proceeds were used in compliance with statutory requirements. Internal audit staff reviewed 33 single audit reports submitted by the recipient organizations.

DUI Programs - DUI Programs are required by Rule 15A-10.012, Florida Administrative Code (FAC), to submit a certified financial audit and an independent auditor's report on compliance with laws and regulations to the Department annually. Internal audit staff reviewed 17 DUI program audit reports.

Audit Projects

The following summaries describe the 17 audit engagements completed by the Internal Audit Section during the 2013-14 Fiscal Year. Four of the audits were of Department program areas, nine were of specialty tag organizations, and four quarterly audits were conducted of the Florida Highway Patrol's Information and Evidence Fund.

Department Program Audits

Medical Review Program
Audit Report 201213-21 - December 18, 2013

The purpose of this audit was to evaluate the Medical Review Program to determine compliance with F.S., FAC, and Department policies and procedures. The audit identified the following eight findings:

- A licensed driver determined incapable of operating a motor vehicle had not had their license revoked more than a year after the determination;
- A licensed driver not complying with required re-examination did not have their license revoked for eleven months;
- The Division of Motorist Services did not timely revoke licenses for failure to submit the requested medical information;

- The Division of Motorist Services did not always render a decision on licensure within 90 days as required by FAC;
- Unauthorized personnel have access to confidential records;
- Medical restrictions on reported driver licenses were not properly added and removed based on the Department's determination;
- Medical review cases were not submitted to the required Medical Advisory Board member for review; and
- The Medical Review Section has inconsistent record keeping systems.

The audit included 12 recommendations to enhance the accountability, internal controls, and oversight of the Medical Review Program. Motorist Services management generally agreed with the findings and recommendations and began corrective action.

Go Renew – Virtual Office
Audit Report 201213-24 - December 19, 2013

The purpose of this audit was to evaluate the Go Renew process and transactions to determine compliance with F.S., FAC, and Department policies and procedures. The audit identified the following six findings:

- The Bureau of Credentialing Services did not take timely actions to resolve differences noted on the E-commerce Payment Reconciliation Detail Reports;
- Voluntary contributions were not distributed in accordance with F.S.;
- County optional vessel registration fees were not distributed in accordance with F.S.;
- Motor vehicle antique status was determined using the model date of the vehicle contrary to F.S. and the Bureau of Issuance Oversight is not verifying the manufacture date of a vehicle's engine as required by F.S.;
- Biennial registrations were incorrectly calculated in FRVIS; and
- The Department is not replacing personalized prestige license plates after 10 years when renewed through Go Renew.

The audit included 6 recommendations to enhance the accountability, compliance and internal controls of the Department's virtual office function. Division management generally agreed with the findings and recommendations and began corrective action.

License Plate and Title Paper Inventory Controls
Audit Report 201314-09 - March 31, 2014

The purpose of this audit was to evaluate whether the Department's inventory control framework for license plate and title paper inventory are adequate and that internal controls are in place to reduce inventory-related risk. The audit identified the following five findings:

- The tax collector year-end license plate inventory audit process is not timely;
- Title Paper is not tracked electronically in FRVIS;
- The Department maintains excessive license plate inventory;

- The Department does not currently know the amount of title paper in tax collector offices, agents and Department sections or regions; and
- The Department has not implemented internal control guidelines for storing and handling title paper.

The audit included six recommendations to enhance the accountability and internal controls for license plates and title paper. Division management generally agreed with the findings and recommendations and has begun corrective action.

Payroll
Audit Report 201314-11 – June 30, 2014

The purpose of this audit was to evaluate the internal controls and processing of the Department payroll in August, September, and October 2013, to include occurrence and existence, completeness, and accuracy. The audit identified the following four findings:

- The Office of Payroll Services did not timely identify or initiate recovery of salary overpayments during August-October 2013;
- The Bureau of Personnel Services did not accurately, timely, or properly document Worker's Compensation leave adjustments;
- The Bureau of Personnel Services did not retain approved People First Security Agreements; and
- Members had People First access privileges that were not necessary for their job duties.

The audit included seven recommendations to enhance the accountability and internal controls for payroll. Bureau of Personnel Services management generally agreed with the findings and recommendations and has begun corrective action.

Specialty Tag Audits

In God We Trust Foundation, Inc.
Audit Report 201213-30 - August 13, 2013

The purpose of this audit was to determine the In God We Trust Foundation, Inc. (IGWT) compliance with F.S. and organizational and Department policies and procedure.

Section 320.08058(67)(b), F.S., specifies the annual use fees shall be used to fund educational scholarships for the children of Florida residents who are members of the United States Armed Forces, the National Guard, and the United States Armed Forces Reserve and for the children of public safety employees who have died in the line of duty who are not covered by existing state law. Funds shall also be distributed to other Section 501(c)(3) organizations that may apply for grants and scholarships and to provide educational grants to public and private schools to promote the historical and religious significance of American and Florida history.

The audit determined $388,522.07 was used for purposes not authorized in F.S., or did not have sufficient documentation to support the expenditure was authorized by Section 320.08058(67), F.S. The audit also determined the IGWT exceeded the allowable percentage of administrative, promotion, and marketing costs and engaged in related party transactions.

The audit included four recommendations pertaining to unallowable program, startup and establishment, audit, and administrative costs. Additionally, the audit included two recommendations regarding related party transactions and insufficient documentation of expenditures. While the IGWT did not provide a response to each finding and disagreed with the audit findings and determination of unallowable expenditures, the IGWT did agree with the recommendation that IGWT ensure In God We Trust specialty license plate fees are used for allowable expenses as authorized in Sections 320.08056 and 320.08058(67), F.S.

The Astronauts Memorial Foundation, Inc. and the Technological Research and Development Authority
Audit Report 201213-25 - August 23, 2013

The purpose of this audit was to determine the Astronauts Memorial Foundation, Inc. (AMF) and the Technological Research and Development Authority's (TRDA) compliance with F.S. and organizational and Department policies and procedures associated with Challenger/Columbia specialty license plate fees.

Section 320.08058(2), F.S., specifies that:

b) 50 percent of the Challenger/Columbia plate annual use fee must be distributed to the Astronauts Memorial Foundation, Inc., to support the operations of the Center for Space Education and the Education Technology Institute.
c) 50 percent of the Challenger/Columbia license plate annual use fee must be distributed to the Technological Research and Development Authority for the purpose of funding space-related research grants, the Teacher/Quest Scholarship Program, and space-related economic development programs.
d) up to 10 percent of the funds distributed under paragraphs (b) and (c) may be used for continuing promotion and marketing of the license plate.

Section 320.08058(2), F.S., was amended with the approval of Senate Bill 954 on June 28, 2013, to remove all references of the TRDA effective September 30, 2013. Once effective, all references to the TRDA will be removed and the TRDA shall no longer receive user fees from the sale of Challenger/Columbia specialty license plates.

The audit determined the TRDA did not coordinate and distribute specialty license plate resources to state universities and independent colleges and universities, community colleges, public school districts, and not-for-profit educational organizations and used Challenger/Columbia specialty license plate fees for unallowable administrative expenses. TRDA' s response did not directly address the findings or recommendations.

The audit also determined the AMF used specialty license plate fees for unallowable educational consulting expenses. The AMF did not agree with the finding that specialty license plate fees were used for unallowable expenditures; however, the AMF did state that a co-mingled account was used and included specialty license plate funds. Furthermore, the AMF has or plans to implement corrective actions; including the AMF has opened a separate bank account for Challenger/Columbia specialty license plate funds; payments from the account will be restricted to expenses directly qualifying as allowable expenses authorized in Sections

320.08056 and 320.08058(2), F.S., and the AMF has created a new department code for government consulting to eliminate any confusion in the accounting categories.

Sportsmen's National Land Trust
Audit Report 201213-26 - August 23, 2013

The purpose of this audit was to determine the Sportsmen's National Land Trust Florida Chapter, Inc. (SNLT) compliance with F.S. and organizational and Department policies and procedure for this specialty license plate.

Section 320.08058(47)(b), F.S., specifies that 75 percent of the license plate annual use fees distributed to the Sportsmen's National Land Trust must be used to fund programs and projects within the state that preserve open space and wildlife habitat, promote conservation, improve wildlife habitat, and establish open space for the perpetual use of the public. Up to 25 percent of the fees may be used for promotion, marketing, and administrative costs directly associated with operation of the trust.

The audit determined the tax agreement the SNLT provided as support for accounting services did not agree with invoices provided to support the expenditures. The SNLT concurred with the audit finding and will consider ways to strengthen their document system for expenditures.

PCMI Properties, Inc. – Horse Country
Audit Report 201314-13 – March 19, 2014

The purpose of this audit was to determine compliance with applicable laws, policies, and regulations related to the annual affidavit reports prepared by PCMI Properties, Inc. for the Horse Country specialty license plate. The audit determined PCMI Properties, Inc. generally complied with applicable laws, policies, and regulations related to the annual affidavits.

Bike Florida, Inc. – Share the Road
Audit Report 201314-08 – February 19, 2014

The purpose of this audit was to determine compliance with applicable laws, policies, and regulations related to the annual affidavit reports prepared by Bike Florida, Inc. for the Share the Road specialty license plate.

Section 320.08058(30)(b), F.S., specifies that up to 25 percent of the annual use fees shall be used for marketing and promotion of the "Share the Road" concept and license plate. The remaining funds shall be divided equally between Bike Florida, Inc., and the Florida Bicycle

Association, Inc., to be used for education and awareness programs, for bicycle safety and motorist safety, with emphasis on sharing the roadway by all users; training, workshops, educational materials, and media events; and the promotion of safe bicycling.

The audit determined significant year-end balances had accumulated for the marketing and promotion allocation. Bike Florida, Inc. concurred with the audit finding, and will consider ways to monitor their marketing and promotion spending, and make year-end distributions as provided by Florida Statutes.

State of Florida Association of Police Athletic/Activities Leagues, Inc. – Police Athletic League
Audit Report 201314-14 – April 4, 2014

The purpose of this audit was to determine compliance with applicable laws, policies, and regulations related to the annual affidavits prepared by the State of Florida Association of Police Athletic/Activities Leagues, Inc. (Association) for the Police Athletic League specialty license plate. The audit determined the Association generally complied with applicable laws, policies, and regulations related to the annual affidavits.

Family First
Audit Report 201314-23 – April 18, 2014

The purpose of this audit was to determine compliance with applicable laws, policies, and regulations related to the annual affidavits prepared by Family First for the Family First specialty license plate. The audit determined Family First generally complied with applicable laws, policies, and regulations related to the annual affidavits.

Florida Heart Research Foundation, Inc. – Stop Heart Disease
Audit Report 201314-30 – June 13, 2014

The purpose of this audit was to determine compliance with applicable laws, policies, and regulations related to the annual affidavit prepared by Florida Heart Research Foundation, Inc. (Foundation) for the Stop Heart Disease specialty license plate.

Section 320.08058(43), F.S., specifies that no more than 20 percent of the annual use fees may be used for administrative costs directly associated with the operation of the Foundation, and marketing and promotion of the Stop Heart Disease license plate. The remaining funds shall be used for cardiovascular research, cardiovascular screenings, and educational programs.

The audit determined the Foundation generally complied with applicable laws, policies, and regulations related to the annual affidavit. However, the use of administrative, marketing, and promotion funds was not reported on an annual basis. The Foundation concurred with the audit finding and made appropriate procedural and reporting revisions.

Surfing's Evolution and Preservation Foundation, Inc. – Endless Summer
Audit Report 201314-28 - June 27, 2014

The purpose of this audit was to determine compliance with applicable laws, policies, and regulations related to the annual affidavits prepared by Surfing's Evolution and Preservation Foundation, Inc. (Foundation) for the Endless Summer specialty license plate. The audit determined the Foundation generally complied with applicable laws, policies, and regulations related to the annual affidavits.

Florida Highway Patrol - Information and Evidence Funds Audits

The OIG conducted four quarterly audits of the FHP Information and Evidence (I and E) Fund. The Florida Highway Patrol's Bureau of Criminal Investigations and

Intelligence is responsible for the use and maintenance of an I and E Fund. This fund is available to investigators to purchase materials as evidence or make payments to confidential sources for information that would otherwise be unavailable.

The purpose of these audits was to evaluate the internal controls over the I and E Fund and compliance with F.S. and Department policies and procedures. The scope of these audits included quarterly examinations of documentation supporting I and E Fund deposits and expenditures.

Based on these examinations, the Florida Highway Patrol's Bureau of Criminal Investigations and Intelligence maintained, in all material respects, effective internal controls for the I and E Fund and operated the I and E Fund in compliance with applicable laws and Department policies and procedures for the quarters ended June 2013, September 2013, December 2013, and March 2014 except as noted below:

Our audit for the first quarter of the 2013-14 Fiscal Year (July, August, and September 2013) identified the following issues which required management attention:

- Partially expended funds were not documented as the case progressed to support the expenditures; and
- Interest earned on the I and E Fund was not timely returned to the State Treasury.

Our audit for the third quarter of the 2013-14 Fiscal Year (January, February, and March 2014) determined the revised Florida Highway Patrol Bureau of Criminal Investigations and Intelligence Policy 9.01, dated March 10, 2014, still did not establish clearly defined procedures for documenting partially expended funds in an ongoing investigation; as recommended in the audit for the first quarter of the 2013-14 Fiscal Year. Management generally agreed with the finding and recommendation and has begun corrective action.

Consulting Engagements

Motorist Services DAVID Audit Review
Consulting Engagement 201213-35 - October 8, 2013

The purpose of this review was to determine if the process used to audit external agencies' Driver and Vehicle Information Database (DAVID) use is adequate to meet the requirements of the Memorandum of Understanding. Our review provided four considerations for the Division of Motorist Services:

- Maintain a spreadsheet containing MOU anniversary dates, due dates, and acquisition dates of Requesting Parties' attestations and affirmations;
- Develop a detailed audit process requiring documentation of quality control reviews, confidential and criminal acknowledgements, agency provided training, and the process for reporting misuse when questions are answered in the affirmative;
- Implement a complete audit schedule, to include anticipated and actual audit dates, corrective action plan due dates, follow-up audit dates, and results of each audit to reference for future audits; and
- Implement a more frequent follow-up process for corrective action plans.

Florida Highway Patrol Intelligence Procedures
Consulting Engagement 201314-40 - June 20, 2014

The purpose of this engagement was to assess and report on the Florida Highway Patrol Bureau of Criminal Investigations and Intelligence's internal audit of Intelligence Procedures. The assessment focused on information collection, storage, purging, and the utilization of intelligence personnel and techniques. The review determined the Florida Highway Patrol Intelligence Procedures are compliant with the requirements in Florida Highway Patrol Policy 22.03.07(d).

Florida Highway Patrol Hireback Internal Control Review
Consulting Engagement 201314-29 – June 27, 2014

The Florida Highway Patrol Hireback program provides through Department contracts with other state agencies, law enforcement security for highway escort, highway construction, highway maintenance activities, and improvements to freeways and other limited access facilities throughout Florida. Participation in the program is voluntary for eligible sworn Florida Highway Patrol members, and is administered and paid separately from the normal payroll process. The purpose of this engagement was to identify whether there were any violations of the termination period for retired participants, as well as evaluating the internal controls relating to scheduling Hireback and processing Hireback payments. The scope of this engagement included all Hireback payroll payments made from January 1 through December 31, 2013. Our review provided the following considerations to enhance and strengthen the Florida Highway Patrol Hireback program:

- Implement statewide use of an electronic scheduling system for all Hireback;
- Centralize the administration of the electronic scheduling system in Tallahassee Headquarters, to include scheduling, member status, and eligibility. The central administrator(s) of Hireback should work closely with the Bureau of Personnel Services to maintain a master list of participating members, to include eligibility and contact information; and,
- Standardize the scheduling of troopers to include the timeframe of schedules, handling of backups, responsibility for shift coverage when a member is unable to work their assigned shift, and troopers working Hireback jobs outside of their troop of assignment.

During interviews with Hireback Coordinators there was consistent uncertainty and unfamiliarity on the eligibility and scheduling of Florida Highway Patrol reserve members. The Florida Highway Patrol should review Florida Highway Patrol Policy 5.09, *Administration of Hireback Programs*, as it relates to reserve officers and provide training to members who coordinate and schedule Hireback. Florida Highway Patrol Policy 5.09, *Administration of Hireback Programs*, is currently being reviewed to include revisions which will address some of the noted deficiencies.

Due to the severity of the possible impacts to members in the DROP program, the Florida Highway Patrol, in conjunction with the Bureau of Personnel Services should formally communicate to all retiring members the reemployment limitations for Florida Retirement System participating members, including Hireback jobs.

Advisory Projects

The Internal Audit Section completed 17 advisory engagements during the 2013-14 Fiscal Year.

Follow-up Review of the Ticketing Aggressive Cars and Trucks Grant
Advisory Memorandum 201314-01 - August 20, 2013

The purpose of this review was to determine whether functional management has taken the agreed upon corrective actions for the findings from the Ticketing Aggressive Cars and Trucks (TACT) Grant Audit (201213-08) dated December 4, 2012.

The follow-up review determined that management took adequate and timely actions in response to both recommendations by updating processes to accurately and consistently report the number of citations issued and overtime hours each quarter.

Second Follow-up Review of the I-75 Incident Agency Response
Advisory Memorandum 201314-02 - August 23, 2013

The purpose of this review was to determine whether Florida Highway Patrol addressed the one remaining finding from the Interstate 75 Incident Agency Response to the Florida Department of Law Enforcement report dated June 26, 2012.

The Interstate 75 Incident Agency Response reported eight findings. The first follow-up review closed seven of the findings. The second follow-up review determined management took adequate and timely actions in response to the remaining finding related to the Highway Safety Smoke Management Task Force Agreement (Agreement).

The Florida Highway Patrol in coordination with the Florida Department of Transportation, the Florida Forestry Service, and the Department of Agriculture and Consumer Services updated the Agreement and the Florida Highway Patrol posted the updated Agreement to Power DMS for Florida Highway Patrol members.

Fourth Follow-up Review of Data Security Audit
Advisory Memorandum 201314-04 - September 12, 2013

The purpose of this review was to determine whether functional management has taken the agreed upon corrective actions for the findings from the Data Security Audit (201011-01) dated April 14, 2011.

The Data Security Audit reported 11 findings. The first follow-up review closed five of the findings. The second follow-up review closed four of the remaining findings. The third follow-up review did not result in any closed findings. The fourth follow-up review determined the Department has taken corrective action for one finding; however, one finding remains open (wireless equipment documentation).

Second Follow-up Review of the Forfeiture Process Audit
Advisory Memorandum 201314-10 – November 21, 2013

The purpose of this review was to determine whether functional management has taken the agreed upon corrective action on the five findings from the Forfeiture Process Audit (201112-03) dated May 8, 2012.

The Forfeiture Process Audit reported five findings. The first follow-up review closed two findings. The second follow-up review determined management took adequate and timely actions in response to two of the recommendations; including maintaining an accurate inventory of seized currency and property and implementing a system to follow-up on 72 hour reports to allow the Office of General Counsel to meet filing deadlines.

Management has begun, but not completed, corrective actions on the remaining recommendation; to establish basic and continuing education training and maintain forfeiture training records.

Internal Quality Assurance Review
Advisory Memorandum 201314-16 – December 17, 2013

Section 20.055(5)(a), F.S., requires internal audits be conducted in accordance with the current *International Standards for the Professional Practice of Internal Auditing* (Standards) or, where appropriate, generally accepted government auditing standards. The Standards, as

promulgated by the Institute of Internal Auditors, provide guidance for the conduct of assurance and consulting engagements.

Standard 1300, *Quality Assurance and Improvement Program*, requires the chief audit executive to develop and maintain a Quality Assurance and Improvement Program that covers all aspects of the internal audit activity. The quality assurance program for the OIG internal audit activity encompasses the charter, organizational environment, and policies and procedures established to provide management with reasonable assurance that the internal audit activity operates in conformity with applicable auditing standards. The quality assurance program for the OIG is formally described in internal operating procedures, and supports the OIG's intent to ensure consistent quality, as well as an ongoing commitment to growth and improvement.

The 2013 Quality Assessment Manual for the Internal Audit Activity, issued by the Institute of Internal Auditors Research Foundation, was utilized to conduct this assessment. The review determined that the internal audit activity for the Department of Highway Safety and Motor Vehicles Office of Inspector General generally conforms to the Standards.

Second Follow-up Review of the Wrecker Rotation Process Audit
Advisory Memorandum 201314-07 - February 18, 2014

The purpose of this review was to determine whether functional management has taken the agreed upon corrective action on the seven findings from the Wrecker Rotation Process Audit (201112-15), dated June 27, 2012.

The first follow-up review closed two of seven findings. Our second follow-up review determined management took adequate and timely actions in response to two of the recommendations; including annually reviewing wrecker operation advertisements and distributing posters regarding the proper wrecker rotation process required for compliance with Rule 15B, FAC.

Management has begun, but not completed, corrective actions on three remaining recommendations; including ensuring wrecker operation files are properly maintained, revising Policy Number 17.02 for Florida Highway Patrol Operation's personnel to resume the annual inspection duties, and updating the computer aided dispatch system to require a reason for a wrecker operation's rotation.

Follow-up Review of the Motor Carrier Safety Assistance Program Grant
Advisory Memorandum 201314-21 – February 26, 2014

The purpose of this review was to determine whether functional management has taken the agreed upon corrective action on the three findings from the Motor Carrier Safety Assistance Program Grant Audit (201213-11), dated June 28, 2013. The follow-up review determined management took adequate and timely actions in response to all three recommendations, including hiring two Office Operations Consultants to ensure statutory and Departmental procurement requirements are met and providing Grant Manager training regarding maintaining detailed and legible receipts for all grant expenses, and purchasing guidelines.

Second Follow-up Review of the Financial Responsibility Audit
Advisory Memorandum 201314-19 - February 26, 2014

The purpose of this review was to determine whether functional management has taken the agreed upon corrective action on the nine findings from the Financial Responsibility Audit (201011-12), dated December 14, 2011. The first follow-up review closed three of nine findings. Our second follow-up review determined that management took adequate and timely actions in response to two of the recommendations; including verifying insurance for original registrations, and capturing and verifying insurance for fleet policies containing more than ten VINS. Management has begun, but not completed, corrective actions on the four remaining recommendations.

Fourth Follow-up Review of FMCSA National Commercial Driver's License Program (Florida portion) Advisory Memorandum 201314-18 - February 28, 2014

The purpose of this review was to evaluate the effectiveness of management actions in response to the required action items and recommended action items made in the Federal Motor Carrier Safety Administration's (FMCSA) review of the Department's implementation of the Florida portion of the national Commercial Driver's License (CDL) Program, dated January 12-14, 2010.

Florida was required to respond, in the form of an action plan, to the 41 action items in the current standards section. Although not obliged to respond to the three recommended requirements in the upcoming requirements section, Florida elected to consider and implement such changes for internal improvements as well as to strengthen the nationwide operation of the CDL program.

The OIG conducted three previous follow-up reviews of the required action items and recommended action items made in the FMCSA review of the Department's implementation of the Florida portion of the national CDL Program dated January 12-14, 2010. The previous three follow-up reviews closed 37 of the 41 required action items and two of the three recommended action items.

The fourth follow-up review determined management took adequate and effective actions in response to the remaining four required action items and one recommended action item; including reviewing mapping violations for ACD codes, reviewing mapping violations of serious and major violations to ensure one-to-one mapping, reviewing and verifying mapping of an outgoing W30 or W31 ACD code to ensure it reflects a W01 code, reviewing work orders to appropriately implement the NCS Error validation requirements, and implementing programs and procedures to comply with the elements of the federal medical certification rule.

Follow-up Review of the Off-Duty Police Employment Audit
Advisory Memorandum 201314-20 - March 11, 2014

The purpose of this review was to determine whether functional management has taken the agreed upon corrective action on the nine findings from the Off-Duty Police Employment Audit (201213-07), dated June 18, 2013. The follow-up review determined management is actively

working to implement corrective actions; however, due to the timeframe for execution and implementation of an automated solution for off-duty police employment, Florida Highway Patrol policy revisions, and computer aided dispatch enhancements corrective actions have not been completed.

Follow-up Review of the Specialty License Plate Audit
Florida State University Foundation
Advisory Memorandum 201314-25 – March 18, 2014

The purpose of this review was to determine whether Florida State University Foundation management has implemented corrective actions for the recommendations communicated in the Specialty License Plate Audit of the Florida State University Foundation (201112-29), dated

April 2, 2013. The follow-up review determined management has implemented corrective actions for its expenditure plan by revising procedures and obtaining the approval of the Board of Governors.

Fifth Follow-up Review of the Data Security Audit
Advisory Memorandum 201314-26 – April 10, 2014

The purpose of this review was to determine whether functional management has taken the agreed upon corrective action on the final finding from the Data Security Audit (201011-01), dated April 14, 2011. The Data Security Audit reported 11 findings. The previous four follow-up reviews closed 10 of the 11 findings. The fifth follow-up review determined management has implemented corrective actions related to the documentation of wireless equipment; including implementing a systematic process to capture information for wireless equipment.

Follow-up Review of the Mobile Information Technology Security Audit
Advisory Memorandum 201314-33 – May 20, 2014

The purpose of this review was to determine whether functional management has implemented corrective action on the five findings from the Mobile Information Technology Security Audit (201213-03) dated June 28, 2013. The follow-up review determined management is actively working to implement corrective actions which have been delayed due to the timeframe for technical options to be presented to the governance committee and completion of the data classification project.

Follow-up Review of the Information Technology Security Policy Review
Advisory Memorandum 201314-22 - June 5, 2014

The purpose of this review was to determine whether functional management has implemented corrective action in response to the recommendations made in the Information Technology Security Policy Review conducted by the Office of Cyber Security, dated May 2, 2013.

The follow-up review determined management took adequate and timely actions in response to two of the recommendations; the OIG included a follow-up review of the Information Technology Security Policy Review in the Audit Plan for the 2013-14 Fiscal Year and the Office of Performance Management has established appropriate oversight pertaining to policy review.

Functional management has begun, but not completed, corrective actions on the remaining five recommendations which focus on policy enhancements.

Follow-up Review of the Specialty License Plate Audit
The Astronauts Memorial Foundation, Inc.
Advisory Memorandum 201314-34 – June 20, 2014

The purpose of this review was to determine whether AMF management has implemented corrective actions for the recommendations communicated in the Specialty License Plate Audit of the AMF (201213-25), dated August 23, 2013. The follow-up review determined AMF management has implemented corrective action for providing reasonable assurance that

specialty license plate funds are only used for statutorily allowable purposes by using a separate bank account for specialty license plate funds. Additionally, the AMF created and uses a government consulting fund account code for expenses not allowable under Sections 320.08056 and 320.08058(2), Florida Statutes.

Third Follow-up Review of the Forfeiture Process Audit
Advisory Memorandum 201314-41 - June 20, 2014

The purpose of this review was to determine whether functional management has implemented corrective actions for the five findings noted in the Forfeiture Process Audit (201112-03), dated May 8, 2012. The first two follow-up reviews closed four of five findings. The third follow-up review determined functional management implemented corrective action in response to the remaining finding; including establishing a process to deliver basic training and continuing education on the Forfeiture Process, and maintaining forfeiture training records.

Development, Assessment, and Validation of Performance Measures

Section 20.055(2)(b), F.S., requires the OIG to assess the validity and reliability of the information reported by the Department and make recommendations for improvement, if necessary, prior to submission of those measures and standards to the Executive Office of the Governor.

Performance Measures
Advisory Memorandum 201314-03 - October 28, 2013

The Department's Performance Measures for the 2012-13 Fiscal Year were reviewed as required by Section 20.055(2)(b), F.S. We assessed the validity and reliability of four measures from the Public Safety category, three measures from the Reliable Service Delivery category,

and one measure from the Leverage Technology category for the 2012-13 Fiscal Year, and evaluated the proposed changes to 12 performance measures, including four new measures, for the Department's Long-Range Program Plan for the 2014-15 through 2018-19 Fiscal Years.

For this review, we defined:

- Validity - The appropriateness of the measuring instrument in relation to the purpose for which it is being used.
- Reliability - The extent to which the measuring procedure yields the same results on repeated trials and data are complete and sufficiently error free for the intended use.

All eight performance measures reviewed from the Public Safety, Reliable Service Delivery, and Leverage Technology categories were valid in relation to the intended purpose; however, two measures were not reliable because of errors in the methodology used to obtain the Department's crash data.

The proposed changes to 12 performance measures that became effective July 1, 2013, are valid and reliable in relation to the intended purpose and use.

Status of Prior Audit Recommendations

Section 20.055, F.S., requires the identification of each significant recommendation described in previous annual reports on which corrective action has not been completed. As of June 30, 2014, there were four audit reports described in previous OIG annual reports that have significant recommendations open 12 months or more.

Audit Report 201213-03: Off-Duty Police Employment (ODPE)
Issued on June 18, 2013

The outstanding recommendations relate to evaluating the current ODPE policies and considering enhancements to current processes and procedures to improve internal controls and monitoring of ODPE services performed by Department members.

Audit Report 201213-03: Mobile Information Security
Issued on June 28, 2013

The outstanding recommendations relate to the inconsistent application of and compliance with Department policy and procedures regarding data security.

Audit Report 201112-15: Wrecker Rotation Process
Issued June 27, 2012

The outstanding recommendations relate to maintenance of wrecker operator files, maintenance of wrecker operators' advertisements and signage in compliance with Rule 15B-9.005(4), FAC, and ensuring compliance with of Rule 15B-9.003, FAC.

Audit Report 201011-12: Financial Responsibility
Issued on December 14, 2011

The outstanding recommendations relate to system enhancements to operational improvements. The Department is addressing the outstanding audit recommendation through a system redesign of the of the Financial Responsibility system.

Investigations

The Investigation Unit is responsible for the management and operation of criminal and administrative investigations involving the Department's law enforcement and civilian members as well as contractors or vendors. Investigations are conducted in accordance with the Association of Inspectors General *Quality Standards for Investigations*. The authority of the OIG investigative Unit is outlined in Section 20.055(6), F.S.

Each allegation is classified, subsequent to a conclusion of fact, based on a thorough and competent investigation as follows:

- Exonerated – The allegation is true; however, the action of the Department or the member was consistent with agency policy.
- Unfounded – The complaint was clearly false or there is no credible evidence to support the complaint.
- Not Sustained – There is insufficient proof to confirm or to refute the allegation.
- Sustained – The allegation is true; the action of the Department or the member was inconsistent with Department policy.
- Policy Failure – The allegation is true. The action of the Department or member was consistent with Department policy; however, the policy was deficient.

Once an investigation is completed:

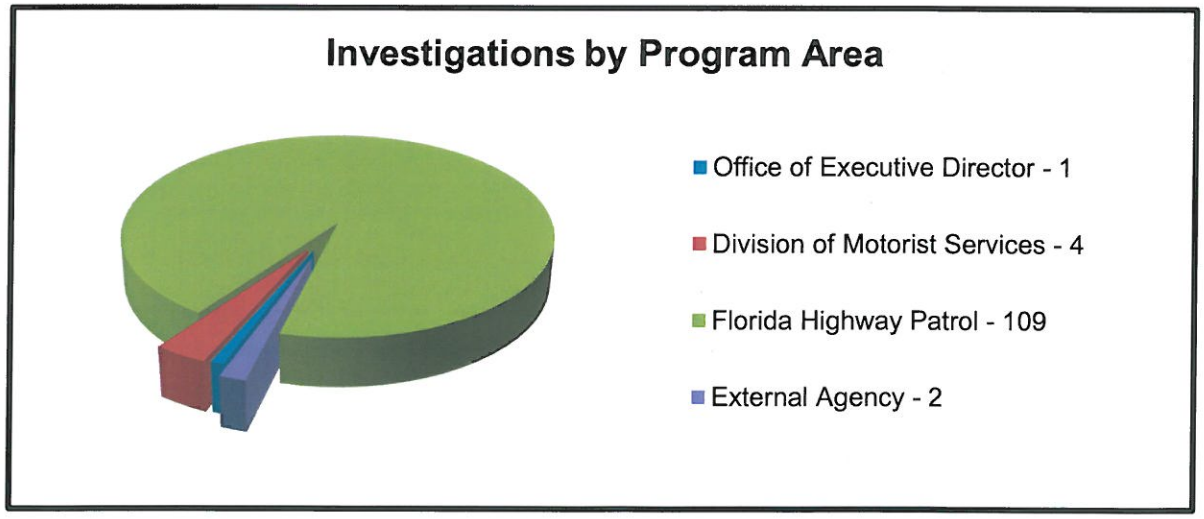
- Case dispositions are reported to the Executive Director and appropriate managers.
- When allegations are sustained involving possible disciplinary action, the OIG provides the necessary facts to the Department's management staff to assist them in taking the appropriate disciplinary actions.
- Criminal investigations are referred to the appropriate State Attorney's Office for prosecution.

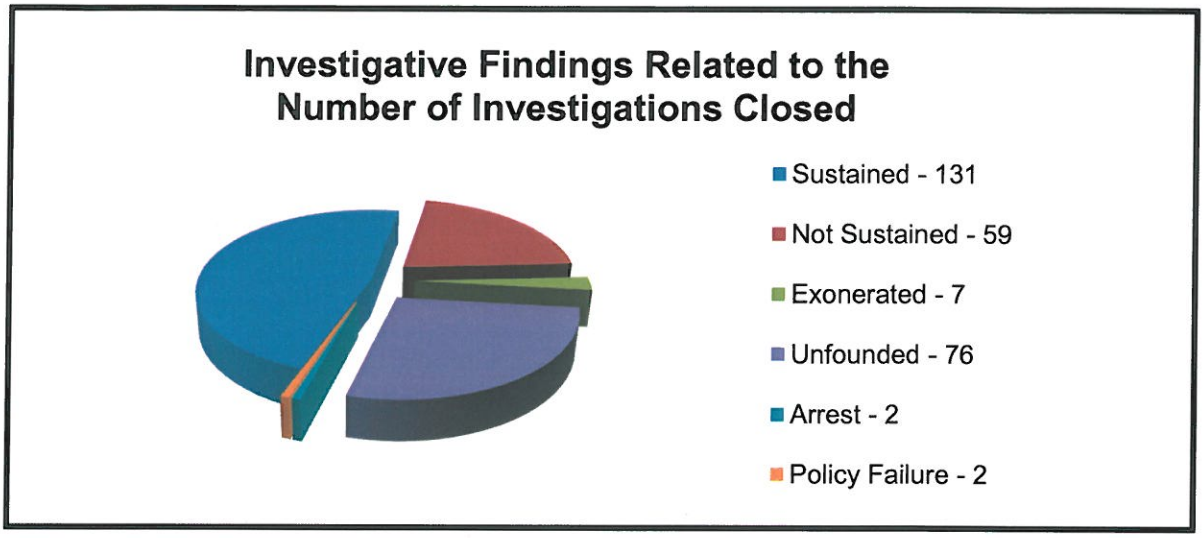
During the 2013-14 Fiscal Year, the Investigations Unit closed 116 cases of alleged member and vendor misconduct. Additionally, 96 substantial inquiries were performed to assess allegations and 45 cases were referred to the appropriate Department functional area for handling.

For the 2013-14 Fiscal Year, the following charts depict investigations performed by program area, investigative findings, and investigative activities performed.

Investigative Activities and Findings by Program Area

Investigative Activities	Number
Cases Opened	128
Cases Closed	116
Cases with Substantiated Allegations	59
Number of Criminal Investigations	4
Complaint Inquiries That Did Not Result in Investigation	96
Public Records Requests	225





The information below depicts the identification of an investigative case number, date closed, a brief summary of the investigation, and disciplinary action if available for the 116 investigations completed during the 2013-14 Fiscal Year.

Investigative Case Summaries

20130220: (7/1/2013) A Department initiated investigation was conducted to determine if a Trooper traveled above the posted speed limit at the time of a patrol car crash, used an assigned Mobile Data Computer (MDC) at the time of a patrol car crash, and was not logged on-duty as required at the time of a patrol car crash. The investigation determined the allegations were sustained and the Trooper received a suspension.

20130271: (7/1/2013) A Department initiated investigation was conducted into the allegation that a Sergeant verbally belittled and demeaned the complainant, a Trooper, while working a traffic crash investigation. The investigation determined the allegation was unfounded. Additionally, the complainant alleged that the Trooper made improper contact by bumping and/or grabbing the complainant while at the scene. The investigation determined the allegation was not sustained.

20130270: (7/10/2013) A Department initiated investigation was conducted into the allegations that a Trooper was engaged in secondary employment that was not authorized by the Department and engaged in secondary employment where a conflict of interest exists. The investigation determined the allegations were sustained. During the investigation, it was also discovered that the Trooper utilized their Department email for activities related to the secondary employment. The investigation determined the allegation was sustained. The Trooper resigned.

20130219: (7/16/2013) Based on a citizen complaint, an investigation was conducted into the allegations that a Trooper and Sergeant used excessive force and failed to properly secure the complainant's personal items during their arrest, which resulted in the loss of the complainant's personal property. The investigation determined the allegation that the Sergeant and Trooper used excessive force during the arrest was unfounded. The allegation that the Sergeant failed to properly secure the complainant's property during the arrest of the complainant was not sustained, and the allegation that the Trooper failed to properly secure the complainant's property during the arrest was sustained. The Trooper received counseling.

20130245: (7/16/2013) Based on an anonymous complaint, an investigation was conducted into the allegation that a Sergeant used a patrol car and police uniform to work a secondary employment job while simultaneously working an FHP shift. The investigation determined the allegations were not sustained. Additionally, it was alleged the Sergeant engaged in secondary employment without the required licensure to operate that company and without prior approval from FHP. The investigation determined both allegations were sustained. The Sergeant received counseling.

20130287: (7/16/2013) A Department initiated investigation was conducted into the allegation that a Trooper created a hostile work environment during a Field Training Program. The investigation determined the allegation was not sustained. Additionally, the investigation determined the Trooper used inappropriate language when speaking with the complainant, the allegation was sustained and the Trooper received a written reprimand.

20130126: (7/18/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper attempted to use their authority as a law enforcement officer in a matter involving a family member to coerce and/or influence a local police officer's decision to conduct a traffic crash investigation and that the Trooper threatened and intimidated the complainant while at the scene of the traffic crash. The investigation determined that the Trooper inappropriately used their authority as a law enforcement, accessed the NCIC/FCIC system for personal use, drove their assigned FHP vehicle while off-duty for personal use, and provided false information to the Communications Center. The investigation determined all allegations were sustained and the Trooper received counseling.

20130236: (7/19/2013) A Department initiated investigation was conducted into the allegation that a Lieutenant discriminated against the complainant due to the complainant's race. Additionally, it was alleged that the Lieutenant retaliated against the complainant by not scheduling them for Off Duty Policy Employment (ODPE) and that the Lieutenant altered their work schedule to work Hireback. The investigation determined the allegations were unfounded.

20130277: (7/23/2013) A Department initiated investigation was conducted to determine if a Corporal over reported hours in People First, failed to call into Computer Aided Dispatch (CAD) to report regular work shifts and ODPE shifts, worked more than 16 hours in a 24 hour period, failed to record ODPE shifts on the monthly ODPE report, and worked ODPE in conjunction with using family sick leave.

The investigation determined the Corporal over reported hours in People First compared to time recorded in the CAD, failed to call into the CAD to report regular work shifts and ODPE, and failed to record ODPE on their monthly ODPE report, these allegations were sustained. The allegations that the Corporal worked more than 16 hours in a 24 hour period and worked ODPE in conjunction with using sick leave and family sick leave were unfounded. The Corporal received a written reprimand.

20130297: (7/27/2013 - External) An investigation was conducted at the request of the Department of Financial Services (DFS) Office of Inspector General. It was alleged that a DFS OIG Investigator failed to maintain objectivity during the course of an investigation, acted inappropriately during witness interviews, and disclosed information exempt from public release. The investigation determined all allegations were unfounded.

20130101: (7/30/2013) A Department initiated investigation was conducted to determine if a Trooper worked more than 16 hours in a 24 hour period and over reported hours in People First compared to actual hours worked. Additionally, it was alleged the Trooper did not call into CAD to report on-duty for regular work shifts, Statewide Overtime Action Response (SOAR), and ODPE shifts and the Trooper failed to report ODPE jobs on their Monthly ODPE Reports. The investigation determined all allegations were sustained. The Trooper received a 16 hour suspension.

20130133: (7/31/2013) A Department initiated investigation was conducted to determine if a Trooper scheduled ODPE at multiple businesses prior to obtaining Troop Commander approval, scheduled ODPE for a business for which the Trooper was not listed as a scheduler, worked more than 16 hours in a 24 hour period, and reported time in People First while simultaneously working ODPE. The investigation determined the Trooper reported time in People First while simultaneously working ODPE and scheduled ODPE at multiple businesses prior to Troop Commander approval, these allegations were sustained. The allegations that the Trooper worked more than 16 hours in a 24 hour period and scheduled ODPE for a business for which they were not listed as a scheduler were not sustained. Additionally, the investigation determined the Trooper failed to report to their work zone in a timely manner after reporting for duty, left their work zone prior to the end of their shift, and in violation of policy scheduled ODPE details for a FHP member who held a greater rank, and served in the same organizational level. The investigation determined these allegations were sustained and the Trooper received a written reprimand.

20130275: (8/2/2013) Based on a citizen complaint, an investigation was conducted into the allegations that a Trooper was rude and discourteous during a traffic stop and may have targeted the complainant by later appearing at the complainant's church. The investigation determined the allegations were unfounded. Additionally, it was alleged by another complainant that the Trooper pointed a firearm at them and another individual while at the church and improperly operated their patrol vehicle in the church parking lot. The investigation determined the allegation that the Trooper pointed a firearm and the complainant and another individual was sustained, and the allegation that the Trooper improperly operated their patrol vehicle in the parking lot of a church was not sustained. The Trooper received a 24 hour suspension.

20130200: (8/9/2013) A Department initiated investigation was conducted into the allegation that a Sergeant worked more than 16 hours in a 24 hours period on multiple occasions, and worked a Hireback shift in conjunction with using family sick leave. The investigation determined the allegations were sustained. Additionally, the investigation discovered that the Sergeant in violation of policy, worked more than 72 hours in one-week period. The Sergeant received counseling.

20130276: (8/9/2013) Based on a complaint received from a local Sheriff's Office, an investigation was conducted into the allegation that a Trooper threatened a retail store clerk with citations every time he saw the clerk on the road. It was also alleged the Trooper was given a Trespass Warning Notification to stay away from the store. The investigation determined the allegations were sustained. Additionally, it was alleged that the Trooper visited this store, out of their county of assignment, while on duty and in uniform. The investigation determined the allegation was not sustained. The Trooper received a suspension.

20130343: (8/29/2013) Based on a citizen complaint, an investigation was conducted to determine if a Trooper improperly issued a Florida Uniform Traffic Citation. The investigation determined the allegation was sustained. Additionally, it was discovered that the Trooper conducted a traffic crash investigation involving a Commercial Motor Vehicle and improperly completed a Short Form crash report. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20130345: (9/3/2013) A Department initiated investigation was conducted into the allegation that a Trooper failed to use the wrecker rotation list by suggesting a specific tow company to the motorist. The investigation determined the allegation was sustained. The Trooper received suspension.

20130300: (9/5/2013) A Department initiated investigation was conducted into the allegation that a Trooper stored or brought an alcoholic beverage into their patrol vehicle that was not evidence or used for training. The investigation determined the allegation was sustained. The Trooper received counseling.

20130340: (9/10/2013) A Department initiated investigation was conducted into the allegation that a Senior Clerk inappropriately touched another Senior Clerk. The investigation determined the allegation was sustained. The member was dismissed.

20120574: (9/21/2013) Based on a citizen complaint, a criminal investigation was conducted to determine if a Corporal physically assaulted the complainant. The investigation was submitted to the State Attorney's Office. The State Attorney's Office declined prosecution.

20130353: (9/21/2013) Based on a complaint received from a county Clerk of Courts, an investigation was conducted into the allegations that a Trooper consistently arrived late for traffic court, was unprepared for traffic court, failed to submit citations within the required five day time frame, and failed to appear in traffic court after being properly subpoenaed. The investigation determined the allegations were sustained. The Trooper received a written reprimand.

20130400: (9/21/2013) Based on an anonymous complaint, an investigation was conducted into the allegations that a Deputy Director had been involved in an inappropriate relationship with a member in their chain-of-command, and misused their Department email and Department issued cellular telephone. The investigation determined the allegations were sustained and the Deputy Director resigned while under investigation.

20130395: (09/24/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper inappropriately directed security staff to conduct a strip search of a female patient in their presence. The investigation determined the allegation was not sustained.

20130346: (9/24/2013) A Department initiated investigation was conducted into the allegation that a Trooper falsified a Request to Receive Donated Sick Leave through the Transfer Plan or Sick Leave form to obtain benefits. The investigation determined the allegation was unfounded.

20130333: (10/10/2013) A Department initiated investigation was conducted into the allegation that two Troopers participated in an unauthorized pursuit and two Sergeants failed to cancel or take supervisory action during an unauthorized pursuit. The investigation determined the allegations against the two Troopers and one Sergeant were sustained, and the allegation against the other Sergeant was not sustained. Additionally, it was alleged that both Troopers failed to stop at red traffic control signals during a pursuit, and one Trooper did not advise the Communications Center they were involved in a pursuit and provided inaccurate information when describing the events of the pursuit. The investigation determined the allegations were sustained. One Trooper resigned while under investigation, the other Trooper received an 24 hour suspension and the Sergeants received written reprimands.

20130342: (10/10/2013) A Department initiated investigation was conducted into the allegation that a Trooper improperly stored a Department issued MDC after the end of their shift. The investigation determined the allegation was sustained. The Trooper resigned after being notified of proposed dismissal.

20130378: (10/10/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Corporal, while at an athletic association practice, identified themself as a state trooper and stated they were going to arrest the complainant, but refused to show their identification or provide their name when asked. The investigation determined the allegation was unfounded.

20130404: (10/18/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper arrested the complainant for not having a valid driver license even after the complainant attempted to give their driver license number. The investigation determined the allegation was exonerated.

20130380: (10/18/2013) Based on a complaint from a local law enforcement agency, an investigation was conducted into the allegation that a Trooper failed to complete a thorough crash investigation. The investigation determined the allegation was not sustained.

20130379: (10/18/2013) Based on a citizen complaint, an investigation was conducted into the allegations that a Lieutenant displayed unprofessional behavior during a pre-employment polygraph examination, and was racially discriminatory towards the complainant. The investigation determined the allegations were not sustained. Additionally it was alleged that a Lieutenant ignored the complainant's concern that a blood pressure cuff was too tight and caused an injury to the complainant's arm. The investigation determined the allegation was not sustained.

20130305: (10/22/2013) A Department initiated investigation was conducted into the allegations that a Sergeant had inappropriate relationships with subordinates and two Troopers had an inappropriate relationship with a supervisor. The investigation determined the allegations were sustained. Additionally, it was alleged that one of the Troopers displayed sexually oriented images to a co-worker while on duty, and the Sergeant's conduct with the Troopers violated Department policies which address Claims of Discrimination to Include Sexual Harassment and Ethics and Personal Responsibility. The investigation determined the allegations were sustained. The Sergeant was demoted, one Trooper received counseling and the other Trooper received a written reprimand.

20130407: (10/23/2013) A Department initiated investigation was conducted into the allegation that a Crime Intelligence Analyst smoked marijuana at the work place. The investigation determined the allegation was not sustained. Additionally, it was alleged that the Crime Intelligence Analyst improperly accessed criminal justice resources on Driver and Vehicle Information Database (DAVID). The investigation determined the allegation was sustained. The Crime Intelligence Analyst resigned while under investigation.

20130416: (10/23/2013) An administrative investigation related to a criminal investigation was conducted into the allegation that a former Auxiliary Officer committed theft while operating a private business. The investigation determined the allegation was sustained.

20130417: (10/23/2013) A Department initiated investigation was conducted into the allegation that a Sergeant, Lieutenant, Captain, and Major failed to notify the Office of Employee Relations regarding a claim of discrimination. The investigation determined the allegation was sustained. Additionally, it was alleged that the Captain and Major failed to disclose information related to a discrimination claim while processing a probationary dismissal. The investigation determined the allegation was unfounded. The Sergeant received no discipline, the Lieutenant received a written reprimand, the Captain received no discipline and the Major received SES Coaching.

20130423: (10/23/2013) A Department initiated investigation was conducted into the allegations that a Lieutenant made sexually oriented comments toward a Trooper and inappropriately touched a Trooper. The investigation determined the allegations were not sustained.

20130381: (10/24/2013) An administrative investigation related to a criminal investigation was conducted into the allegation that a Trooper used unauthorized physical force against the complainant. The investigation determined the allegation was sustained. The Trooper received a suspension.

20130337: (11/4/2013) A Department initiated investigation was conducted into the allegation that an Assistant Office Manager racially discriminated against a Driver Licenses Examiner. The investigation determined the allegation was not sustained.

20130406: (11/8/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Sergeant used excessive force while arresting the complainant. The investigation determined the allegation was not sustained.

20130440: (11/8/2013) Based on a citizen complaint, an investigation was conducted into the allegations that a Trooper improperly detained the complainant during a traffic stop and improperly displayed their Department firearm by pointing it at the complainant during a traffic stop. The investigation determined the allegation that the Trooper improperly detained the complainant during a traffic stop was unfounded and the allegation that the Trooper improperly displayed their Department firearm by pointing it at the complainant during a traffic stop was not sustained.

20130405: (11/14/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper used excessive force while making an arrest. The investigation determined the allegation was unfounded.

20130326: (11/14/2013) A Department initiated investigation was conducted into the allegation that a Corporal failed to collect evidence and complete a thorough Traffic Homicide Investigation as the lead traffic homicide investigator. The investigation determined the allegation was sustained and the Corporal received counseling.

20130456: (11/14/2013) A Department initiated investigation was conducted into the allegation that a Trooper was involved in an unauthorized pursuit with a speeding motorcycle. The investigation determined the allegation was unfounded.

20130482: (12/6/2013) Based on a complaint received from a local hospital, an investigation was conducted into the allegation that a Trooper unloaded his service weapon in a hospital room and allowed a juvenile patient to take possession of the service weapon and gave a knife to a juvenile to "play with." The investigation determined the allegation that the Trooper gave a knife to a juvenile was unfounded. The investigation determined the allegation that the Trooper unloaded his service weapon in a hospital room and allowed a juvenile patient to take possession of the service weapon was sustained and the Trooper received a written reprimand.

20130484: (12/6/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper failed to make a physical arrest of an impaired driver following a crash investigation. The investigation determined the allegation was exonerated.

20130503: (12/20/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a crash investigation assigned to a Trooper was not conducted in accordance with FHP policy. The investigation determined the allegation was sustained. The Trooper received no discipline.

20130494: (12/18/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper racially profiled a motorist to conduct a traffic stop and search the vehicle. The investigation determined the allegation was unfounded.

20130515: (12/18/2013) Based on an anonymous complaint, an investigation was conducted into the allegation that a Major created a hostile work environment. The investigation determined the allegation was unfounded.

20130516: (12/18/2013) A Department initiated investigation was conducted into the allegations that a Sergeant conducted multiple inappropriate inquiries using the DAVID system and conducted multiple inappropriate inquiries using the FCIC and NCIC on articles and persons, and paid a prostitute for sex. The investigation determined the allegations were sustained. The Sergeant resigned in lieu of dismissal.

20130496: (12/20/2013) A Department initiated investigation was conducted into the allegation that an Office Operations Consultant racially discriminated against members of FHP. The investigation determined the allegation was not sustained.

20130485: (12/20/2013) Based on a citizen complaint, an investigation was conducted into the allegations that a Trooper used excessive force while handling the complainant causing bruises to her arms, was rude and discourteous, improperly charged her with resisting arrest without violence, conspired with the jail nurse to have the complainant held longer at the facility due to mental issues, and was safe booked into the jail because the Trooper was angered with the complainant's questions.

The investigation determined the allegations that the Trooper improperly charged the complainant with resisting arrest without violence, conspired with the jail nurse to have the complainant held longer at the facility due to mental issues, and safe booked the complainant into the jail because the Trooper was angered with the complainant's questions were unfounded, and the allegation that the Trooper used excessive force while handling the complainant causing bruises to her arms was not sustained. The investigation determined the allegation that the Trooper was rude and discourteous while arresting the complainant was sustained. The Trooper received a written reprimand.

20130550: (12/20/2013) A Department initiated investigation was conducted into the allegation that a Trooper used excessive force while arresting a subject. The investigation determined the allegation was unfounded.

20130518: (12/20/2013) Based on a citizen complaint, an investigation was conducted into the allegations that a Trooper falsely arrested the complainant following a DUI investigation and displayed unprofessional conduct during the arrest of the complainant. The investigation determined the allegation that the Trooper falsely arrested the complainant following a DUI investigation was not sustained, and the allegation that the Trooper displayed unprofessional conduct during the arrest of the complainant was unfounded.

20130529: (12/23/2013) Based on a citizen complaint, an investigation was conducted into the allegation that a Sergeant failed to properly secure the complainant's property. The investigation determined the allegation was not sustained.

20130528: (12/23/2013) A Department initiated investigation was conducted into the allegation that a Trooper had been outside their county of assignment during their assigned shift. The investigation determined the allegation was sustained. The Trooper received a written reprimand.

20130523: (12/23/2013) Based on a complaint from a local police department, an investigation was conducted into the allegation that a Trooper was rude and discourteous to a police officer. The investigation determined the allegation was not sustained.

20130549: (1/8/2014) Based on a citizen complaint, an investigation was conducted into the allegation that a Trooper used excessive force while making an arrest. The investigation determined the allegation was unfounded.

20130486: (1/8/2014) Based on a citizen complaint, an investigation was conducted into the allegations that a Trooper was rude and discourteous to the complainant, drove in an aggressive and reckless manner, turned off their in-car camera before the traffic stop was completed, marked "mandatory court appearance required" on a citation for an offense that does not require a mandatory court appearance, and was racist in tone and action. The investigation determined the allegations that the Trooper was rude and discourteous to the complainant and turned off their in-car camera before the traffic stop was completed were sustained. The allegation that the Trooper drove in an aggressive and reckless manner was not sustained, the allegation that the Trooper marked "mandatory court appearance required" on a citation for an offense that does not require a mandatory court appearance was exonerated, and the allegation that the Trooper was racist in tone and action was unfounded. The Trooper received a written reprimand.

20130439: (1/17/2014) Based on a citizen complaint, an investigation was conducted into the allegations that a Trooper engaged in a traffic stop with a motorist which took place for approximately two hours without enforcement action, engaged in personal and inappropriate conversation with a motorist during a traffic stop to include asking for a phone number and subsequently texting the motorist after the traffic stop, and displayed to the motorist during the traffic stop a video of an FHP Taser incident the Trooper was involved in. The investigation determined the allegations were sustained. Additionally, it was alleged the Trooper failed to remain on-duty for their assigned shift and perform assigned duties, used DAVID for other than legitimate law enforcement purposes, failed to document all traffic stops on the Traffic Stop Data Report (TSRD), deactivated the in-car video camera system on numerous traffic stops before the traffic stops were complete, failed to ensure that their Automatic Vehicle Locator (AVL) was actively transmitting their location while on-duty, and while on-duty, traveled to the residence of two female motorists on whom he conducted traffic stops. The investigation determined the allegations were sustained. The Trooper received a suspension.

20130579: (1/23/2014) Based on a citizen complaint, an investigation was conducted into the allegations that a Sergeant touched female employees of a Clerk of Court's office without their permission, continued to enter the "employees only" area of the Clerk of Court's office after being warned, and made inappropriate comments of a sexual nature to female employees of the Clerk of Court's office. The investigation determined the allegations that the Sergeant touched female employees of the Clerk of Court's office without their permission and continued to enter the "employees only" area of the Clerk of Court's office after being warned were sustained. The investigation determined the allegation that the Sergeant made inappropriate comments of a sexual nature to female employees of the Clerk of Court's office was unfounded. The Sergeant received a suspension.

20130530: (1/24/2014) Based on a citizen complaint, an investigation was conducted into the allegation that a Driver License Examiner I engaged in inappropriate conversation with a customer. The investigation determined the allegation was sustained. The member received counseling.

20130558: (1/28/2014) Based on a citizen complaint, an investigation was conducted into the allegation that an Auxiliary Major denied entry into a fair to a group of motorcyclists. The investigation determined the allegation was exonerated.

20130580: (1/28/2014) A Department initiated investigation was conducted into the allegation that a Driver License Examiner falsified Department medical certification forms in order to receive sick leave transfers. The investigation determined the allegation was sustained and the member was dismissed.

20130586: (1/28/2014) Based on a complaint received from a local sheriff's office, an investigation was conducted into the allegation that a Trooper interfered with an investigation being conducted by the local sheriff's office. The investigation determined the allegation was unfounded.

20130559: (1/30/2014) A Department initiated investigation was conducted into the allegations that a Trooper accepted unauthorized compensation, conducted multiple inspections of a particular trucking company that were either back-to-back or in close proximity of the first inspection, and misused their official position. The investigation determined the allegations that the Trooper accepted unauthorized compensation and misused their position were unfounded. The investigation determined the allegation that the Trooper conducted multiple inspections of a particular trucking company that were either back-to-back or in close proximity of the first inspection was a policy failure.

20130303: (2/11/2014) Based on a citizen complaint, a criminal investigation was conducted to determine false imprisonment and sexual battery against the complaint. The investigation was submitted to the State Attorney's Office. The State Attorney's Office declined prosecution.

20140026: (2/13/2014) A Department initiated investigation was conducted into the allegation that a Trooper is working secondary employment as a vice president of a private investigations corporation and working secondary employment that is a conflict of interest. The investigation determined the allegations were sustained and the Trooper received a written reprimand.

20140027: (2/13/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Trooper used a racial slur while arresting the complainant for obstruction/resisting without violence. The investigation determined the allegation was unfounded.

20130548: (2/25/2014) A Department initiated investigation was conducted into the allegation that a Trooper failed to notify the Communications Center upon joining a pursuit. The investigation determined the allegation was sustained. Additionally, it was alleged the Trooper exceeded the authorized amount of units allowed in a pursuit without permission and the Trooper drove on the wrong side of the roadway to pursue a violator. The investigation determined the allegations were sustained and the Trooper received a suspension.

20140066: (2/25/2014) An administrative investigation, related to a criminal investigation, was conducted into the allegations that a Trooper made inappropriate sexual comments to the complainant during a traffic stop and sexually battered the complainant during a traffic stop. The investigation determined the allegations were not sustained. Additionally, it was alleged that the Trooper deactivated the in-car camera system during traffic stops before the traffic stops were complete, failed to ensure their Mobile Video/Audio Recording (MVR) equipment was operating properly, failed to ensure their wireless microphone for the in-car video camera system was activated during numerous traffic stops, failed to inform his immediate supervisor of an MVR malfunction as soon as possible, logged off the SmartMCT Mobile Application before the end of their shift, and logged off-duty via radio with the Communications Center while still approximately five miles from their residence. The investigation determined the allegations were sustained and the Trooper received a written reprimand.

20140020: (2/25/2014) A Department initiated investigation was conducted into the allegation that a Trooper engaged in an unauthorized pursuit. The investigation determined that the allegation was sustained and the Trooper received counseling.

20140024: (3/3/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Trooper used their FHP credentials to park in a handicapped parking space and posted public LinkedIn and Facebook photographs that are offensive and unprofessional. The investigation determined the allegations were unfounded. Additionally, it was alleged the Trooper parked in a handicapped parking space in violation of F.S. The investigation determined the allegation was not sustained.

20140025: (3/3/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Trooper used their position as a Trooper to bully, intimidate and/or threaten a juvenile, used threatening, profane, or abusive language to others, and engaged in conduct while off-duty which discredited the integrity of the Division. The investigation determined the allegations were not sustained.

20140039: (3/3/2014) A Department initiated investigation was conducted into the allegations that a Trooper failed to properly investigate a traffic crash and failed to appear in court as directed by a subpoena. The investigation determined the allegation that the Trooper failed to properly investigate a traffic crash was not sustained, and the allegation that the Trooper failed to appear in court as directed by a subpoena was sustained. The Trooper resigned while under investigation.

20140047: (3/3/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Trooper was rude and discourteous, abused their power during a traffic stop, racially profiled the complainant to conduct a traffic stop, harassed the complainant during a traffic stop, and violated the civil rights of the complainant during a traffic stop. The investigation determined the allegations were unfounded.

20140041: (3/6/2014) A Department initiated investigation was conducted into the allegations that a Captain created a hostile work environment for a Lieutenant due to their status in the Military Reserves, and the Captain and a Major failed to report a hostile work environment/discrimination claim to the Bureau of Personnel Services. The investigation determined the allegations were not sustained.

20130571: (3/11/2014) Based on a complaint received from a local sheriff's office, an investigation was initiated into the allegation that a Sergeant failed to properly investigate a traffic crash and failed to properly supervise a traffic crash scene, and that a Trooper failed to properly investigate a traffic crash and failed to make a DUI arrest. The investigation determined the allegation that the Sergeant failed to properly investigate a traffic crash was unfounded and the allegation that the Sergeant failed to proper supervise a traffic crash scene was sustained. The investigation determined the allegations against the Trooper were sustained. The Sergeant and the Trooper received written reprimands.

20140062: (3/11/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Trooper maliciously and verbally abused the complainant and solicited a bribe. The investigation determined the allegation that the Trooper maliciously and verbally abused the complainant was not sustained, and the allegation that the Trooper solicited a bribe was unfounded.

20140058: (3/13/2014) A Department initiated investigation was conducted into the allegation that a Trooper falsified reports and abused (SOAR) funds by being paid for hours they did not work. The investigation determined the allegations were sustained. The Trooper retired while under investigation.

20140018: (3/18/2014) A Department initiated investigation was conducted into the allegation that a Sergeant created a hostile work environment for a Trooper. The investigation determined the allegation was not sustained. Additionally, it was alleged the Sergeant made inappropriate non-sexual, as well as sexually-related comments to others in the workplace, such as telling what is commonly referred to as a dirty joke in violation of the Department's SAFE Plan. The investigation determined the allegation was sustained. The Trooper received a written reprimand.

20140045: (3/18/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Trooper conducted an improper crash investigation, improperly charged the complainant for the crash, was rude and discourteous to the complainant, and failed to appear in court after receiving a subpoena. The investigation determined the allegations that the Trooper conducted an improper crash investigation, improperly charged the complainant for the crash, and was rude and discourteous to the complainant were unfounded. The investigation determined the allegation that the Trooper failed to appear in court after receiving a subpoena was sustained. The Trooper received a written reprimand.

20140046: (3/18/2014) Based on a complaint received from a local sheriff's office, an investigation was initiated into the allegation that a Trooper failed to arrest an impaired driver. The investigation determined the allegation was not sustained.

20140082: (3/18/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Corporal used excessive force during a traffic stop. The investigation determined the allegation was exonerated.

20140069: (3/18/2014) A Department initiated investigation was conducted into the allegation that a Trooper failed to transmit uniform traffic citations and traffic crash reports in a timely manner. The investigation determined the allegation was sustained. The Trooper received a suspension.

20140070: (3/18/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Lieutenant failed to document a road rage incident when reported. The investigation determined the allegation was sustained. The Lieutenant received a written reprimand.

20140074: (3/20/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Trooper racially profiled the complainant, conducted an unsafe traffic stop, and exhibited harassing behavior. The investigation determined the allegations were unfounded. Additionally, it was alleged another Trooper exhibited harassing behavior. The investigation determined the allegation was unfounded.

20140050: (3/20/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Trooper was rude and discourteous to the complainant, used alcohol/drugs while on duty, and racially profiled the complainant. The investigation determined the allegation that the Trooper was rude and discourteous was not sustained, and the allegations that the Trooper used alcohol/drugs while on duty and racially profiled the complainant were unfounded.

20140049: (3/20/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Corporal yelled at the complainant and used profane language, lied on the arrest report, and stopped the complainant because they are racist. The investigation determined the allegations were unfounded.

20140072: (4/1/2014) Based on a complaint received from another state agency, an investigation was initiated into the allegations that a Regional Communications Center Manager discriminated against a Duty Officer and created a hostile work environment in the regional communications center. The investigation determined the allegation that the Regional Communications Center manager discriminated against a Duty Officer was unfounded, and the allegation that they created a hostile work environment in the regional communications center was not sustained.

20140068: (4/4/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Trooper improperly used their Department vehicle's public address system and their Department vehicle's spotlight when they encountered the complainant. The investigation determined the allegations were sustained and the Trooper received counseling.

20140106: (4/4/2014) A Department investigation was initiated into the allegation that a Trooper failed to obey a lawful order by a supervisor. The investigation determined the allegation was unfounded.

20140105: (4/9/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Trooper was rude and discourteous during a traffic stop. The investigation determined the allegation was sustained and the Trooper received a written reprimand.

20140086: (4/10/2014) Based on a citizen complaint, an investigation was initiated into the allegations that a Trooper spoke to the complainant in a condescending way and issued a speeding traffic citation based on the "color of her skin." The investigation determined the allegations were unfounded. Additionally, the investigation determined the Trooper failed to ensure their wireless microphone was activated during the complainant's traffic stop. The Trooper received counseling.

20140064: (4/15/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Duty Officer sexually harassed the complainant by making sexual comments. The investigation determined the allegation was sustained. Additionally, it was alleged the Duty Officer accessed the complainant's personal information using Department resources. The investigation determined the allegation was sustained and the employee was dismissed.

20130487: (4/22/2014) Based on an anonymous complaint, a criminal investigation was initiated by the Department of Financial Services to determine if a Trooper committed worker compensation fraud. DFS's investigation determined the allegation was unfounded and criminal charges were not filed against the Trooper.

20140071: (4/22/2014) A Department initiated investigation was initiated into the allegation that a Trooper attempted to influence enforcement action during a traffic stop. The investigation determined the allegation was not sustained.

20140073: (4/22/2014) Based on a citizen complaint, a criminal investigation was initiated to determine if a Sergeant committed burglary with a person assaulted or battered. The Sergeant was arrested and charged with burglary with a person assaulted or battered and the Sergeant was dismissed.

20140076: (4/22/2014) Based on a citizen complaint, an investigation was initiated into the allegation that an unknown Trooper grabbed the complainant by their arm and put them in a reverse choke hold while at the county courthouse. The investigation determined the allegation was not sustained.

20140167: (4/22/2014) An administrative investigation related to a criminal investigation, conducted by the Department of Financial Services, was initiated into the allegation that a Trooper was injured while in non-duty status teaching at a motorcycle school, yet made a first report of injury claiming they were injured while on-duty. The investigation determined the allegation was unfounded.

20140118: (4/29/2014) Based on a citizen complaint, an investigation was initiated into the allegation that two Troopers initiated a traffic stop of the complainant based on profiling. The investigation determined the allegation was unfounded. It was also alleged that one of the Troopers failed to ensure their wireless microphone was activated, failed to classify the traffic stop in their video log, failed to enter the traffic stop on their Traffic Stop data report, failed to upload videos from their MVR in a timely manner, and failed to deliver copies of warnings and faulty equipment notices to drivers. The investigation determined the allegation that the Trooper failed to ensure their wireless microphone was activated was not sustained, the allegation that the Trooper failed to classify the traffic stop in their video log was a policy failure; and the allegations that the Trooper failed to enter the traffic stop on their Traffic Stop Data Report, failed to upload videos from their MVR in a timely manner, and failed to deliver copies of warnings and faulty equipment notices to drivers were classified as sustained. Additionally it was alleged the other Trooper failed to ensure their MVR was activated while acting as a back-up unit. The investigation determined the allegation was sustained and the Troopers received a written reprimand.

20140083: (4/29/2014) A Department investigation was initiated into the allegation that a Trooper made threats over a cellular telephone. The investigation determined the allegation was not sustained.

20140121: (5/06/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Trooper issued a citation to the complainant based on race, reported a statement from the complainant in the crash report narrative that was never made, and failed to look at all evidence at the crash scene. The investigation determined the allegations were unfounded.

20140103: (5/13/2014) A Department investigation was initiated into the allegations that a Trooper submitted 30 hours of Hireback employment while assigned to administrative duty, worked 8 hours of administrative duty and later claimed 10 hours of Hireback employment for a total of 18 hours worked in a 24 hour period, and worked a total of 38 hours of ODPE in a work week. The investigation determined the allegations were unfounded.

20140107: (5/13/2014) A Department investigation was initiated into the allegations that the Florida Highway Patrol has established a "citation quota" system contrary to Section 316.640(1)(a)(2), F.S., a trooper was subjected to retaliation because of an announcement of interest to seek the Office of Sheriff, and that a person improperly deleted data from the Arbitrator In-Car Camera system assigned to the Trooper. The investigation determined the allegations were unfounded.

20140122: (5/13/2014) A Department investigation was initiated into the allegation that a Sergeant failed to respond to and investigate a patrol car traffic crash within their own Troop, instead deferring their responsibilities to the adjoining Troop, and failed to make entry into the Notification & Reporting System showing the patrol car crash. The investigation determined the allegations were sustained. Additionally it was alleged that a Sergeant failed to properly investigate a patrol car crash, began a criminal investigation without making the proper notifications or receiving approval per Department policy, and was insubordinate. The investigation determined the allegation that the Sergeant was insubordinate was not sustained and the allegations that the Sergeant failed to properly investigate a patrol car crash and began a criminal investigation without making the proper notification or receiving approval were sustained. The Sergeant received counseling.

20140137: (6/05/2014) Based on an anonymous complaint, an investigation was initiated into the allegation that a Sergeant resides in the State of Georgia and has been paid to attend military funerals as a military reservist while on-duty for FHP. The investigation determined the allegations were sustained. Additionally, it was alleged the Sergeant utilized his FHP patrol car for non-work related purposes and violated Section 322.212(5)(a), F.S., Driver License Fraud. The investigation determined the allegations were sustained and the Sergeant was dismissed.

20140124: (6/10/2014) A Department investigation was initiated into the allegation that a Corporal falsified their Report of Daily Activity (RDA) Reports, falsified their SOAR Reports, falsified their People First timesheets, falsified their TSDR, and issued multiple citations to one driver for the same offense.

The investigation determined the allegation that the Corporal falsified their TSDR was not sustained, the allegation that the Corporal issued multiple citations to one driver for the same offense was unfounded, and the allegations that the Corporal falsified his RDA Reports, SOAR Reports, and People First timesheets were sustained. Additionally, the investigation determined the Corporal failed to ensure their SmartMCT and AVL were on and actively transmitting their location while on-duty. The investigation determined the allegation was sustained. Discipline on this case is pending.

20140147: (6/13/2014) A Department investigation was initiated into the allegations that a Trooper was involved in an unauthorized pursuit, failed to communicate an active pursuit, and gave false information about the incident to deceive the investigating supervisor. The investigation determined the allegations were sustained. Additionally, it was alleged that another Trooper was involved in an unauthorized pursuit, failed to communicate an active pursuit, and failed to engage their MVR while engaged in a pursuit/traffic stop. The investigation determined the allegations were not sustained. Discipline on this case is pending.

20140170: (6/13/2014) A Department investigation was initiated into the allegations that a Sergeant was argumentative during a traffic stop and created a disruptive atmosphere which interfered with the traffic stop. The investigation determined the allegation that the Sergeant was argumentative during a traffic stop was unfounded and the allegation that the Sergeant created a disruptive atmosphere which interfered with the traffic stop was not sustained.

20140228: (6/17/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Trooper used unnecessary and excessive force and a Sergeant failed to complete an FHP Use of Control Report concerning the traffic stop involving the Trooper. The investigation determined the allegations were exonerated.

20140208: (6/19/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Driver License Examiner engaged in inappropriate conversation with a customer. The investigation determined the allegation was sustained. Additionally, it was alleged the Driver License Examiner used his position for personal advantage. The investigation determined the allegation was sustained. Discipline on this case is pending.

20140117: (6/23/2014) A Department investigation was initiated into the allegation that an Evidence Property Custodian failed to conduct a complete and accurate verification count associated with a United States currency seizure. The investigation determined the allegation was sustained. The member received a written reprimand.

20140215: (6/23/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Trooper racially profiled the complainant to initiate a traffic stop. The investigation determined the allegation was not sustained.

20140138: (6/26/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Trooper engaged in sexual activity while on-duty. The investigation determined the allegation was not sustained. Additionally, the investigation determined the Trooper falsified ODPE records; used his Department vehicle for other than official state business; allowed unauthorized passengers in a Department vehicle; wore inappropriate attire while using a Department vehicle; used FCIC for other than criminal justice purposes; failed to report for duty after notifying the Communications Center of their on-duty status; left their assigned duty post without authorization; and failed to ensure their AVL was actively transmitting their location while on-duty and driving their patrol vehicle. The investigation determined the allegations were sustained. Discipline on this case is pending.

20140161: (6/26/2014) A Department investigation was initiated into the allegations that a Trooper violated the FHP pursuit policy, another Trooper violated the FHP pursuit policy and failed to activate their mobile video/audio recording equipment while responding to the pursuit, and a Sergeant failed to provide supervisory oversight during the pursuit. The investigation determined the allegations against the Troopers were sustained and the allegation against the Sergeant was unfounded. Discipline on this case is pending.

20140216: (6/30/2014) Based on a request from the Governor's Chief Inspector General's Office, an investigation was initiated into the allegation that a Department of Lottery Special Agent purchased a firearm for personal use utilizing a law enforcement agency exemption, which required that the firearm purchased be used for official use related to Lottery employment. The investigation determined the allegation was sustained. Additionally, it was alleged that the Director of Security signed a letter, that they admitted they failed to read, that resulted in the Special Agent obtaining a firearm in a manner in which he was not entitled. The investigation determined the allegation was sustained.

20140231: (6/30/2014) Based on a citizen complaint, an investigation was initiated into the allegation that a Trooper racially profiled the complainant. The investigation determined the allegation was not sustained.